



**Southwest Securities, Inc. and/or Broker/Dealers for which it clears**  
 Southwest Securities, Inc. Member NYSE/NASD/SIPC

**Confirmations Via Email or Facsimile**

You can use this form to request that your trade confirmations be sent via email or facsimile. Send the completed form by fax to (214) 859-6030 or by mail to Southwest Securities, Inc. / Attn: New Accounts Department / 1201 Elm Street, Suite 3500 / Dallas, TX 75270.

**1. Account Information.**

Name as it appears on your account: \_\_\_\_\_  
 Account Number: \_\_\_\_\_

**2. Trade Confirmation Delivery Type. (Check ONE.)**

Email Address: \_\_\_\_\_

**OR**

Fax Number: \_\_\_\_\_

Upon receiving this form, the New Accounts Department will update your account so that trade confirmations are sent to the email address or fax number indicated and cease sending them via U.S. mail.

**3. Duplicate Trade Confirmation Delivery Instructions. (If applicable ONLY.)**

As a service to you, Southwest Securities, Inc. ("SWS") allows you to designate up to two other individuals, such as your CPA, to receive duplicate copies of your trade confirmations via email or fax.

<b>First Duplicate Trade Confirmation:</b>				
_____				
Full Name (First, Middle, Last)				
_____				
Address				
_____				
City	State/Province	Country	Zip	
Send Trade Confirmations Via ( <i>Check ONE.</i> ):				
<input type="checkbox"/> Email Address: _____				
<b>OR</b>				
<input type="checkbox"/> Fax Number: _____				

<b>Second Duplicate Trade Confirmation:</b>				
_____				
Full Name (First, Middle, Last)				
_____				
Address				
_____				
City	State/Province	Country	Zip	
Send Trade Confirmations Via ( <i>Check ONE.</i> ):				
<input type="checkbox"/> Email Address: _____				
<b>OR</b>				
<input type="checkbox"/> Fax Number: _____				

**4. Agreement. (Please read and sign.)**

I hereby give my consent for SWS to send confirmations of trades for the above-referenced account by the electronic means designated above. I agree to inform SWS promptly in the event that this electronic information is changed or becomes inactive. This consent to receive account information by electronic means will remain effective until revoked by me in writing delivered to Southwest Securities, Inc. / Attn: New Accounts Department / 1201 Elm Street, Suite 3500 / Dallas, TX 75270. I understand that SWS may elect to return to sending trade confirmations via U.S. Mail at any time without providing notice to me of such change, and agree to inform SWS promptly in writing of any change in my mailing address.

\_\_\_\_\_  
 Account Holder's Printed Name

**X** \_\_\_\_\_  
 Account Holder's Signature

\_\_\_\_\_  
 Date

\_\_\_\_\_  
 Co-Account Holder's Printed Name

**X** \_\_\_\_\_  
 Co-Account Holder's Signature

\_\_\_\_\_  
 Date